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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

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Docket Number 2262720001403

Application Number 09/731,941

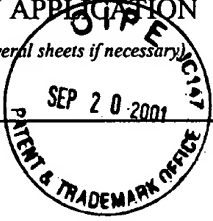
Applicant

James M. ALLEN

Filing Date December 6, 2000

Group Art Unit 1632

Mailing Date September 11, 2001



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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
DW	1.	01/10/1989	4,797,368	Carter et al.			
	2.	08/18/1992	5,139,941	Muzyczka et al.			
	3.	08/25/1992	5,141,742	Brown et al.			
	4.	12/22/1992	5,173,414	Lebkowski et al.			
	5.	10/11/1994	5,354,678	Lebkowski et al.			
BW	6.	08/19/1997	5,658,776	Flotte et al.	435	172.3	
DW	7.	04/22/1997	5,622,856	Natsoulis			
DW	8.	11/17/1998	5,837,484	Trempe et al.	435	69.1	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
DW	9. ✓	05/29/1995	AU 678867 ✓	Australia			only claims 1 and 6
DW	10. ✓	05/29/1995	AU 688428 ✓	Australia			only claims 1, 7, and 13
BW	11.	07/04/1996	4436664	Germany	abstract only		partial
DW	12.	11/28/1991	WO 91/18088	WIPO			
DW	13.	05/29/1992	WO 92/08796 ✓	WIPO			
DW	14.	06/23/1994	WO 94/13788	WIPO			
	15.	08/12/1994	WO 94/28143	WIPO			
DW	16.	05/18/1995	WO 95/13365	WIPO			
DW	17.	05/18/1995	WO 95/13392	WIPO			
DW	18.	06/01/1995	WO 95/14771	WIPO			

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Brian H. Allen

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Sheet 2 of 5

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Form PTO-1449

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OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
DW	19.	Antoni et al. (1991). "Adeno-Associated Virus Rep Protein Inhibits Human Immunodeficiency Virus Type-1 Production in Human Cells" <i>J. Virol.</i> (1991) 65(1):396-404.
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	22.	Blacklow (1988). <i>Parvoviruses and Human Disease</i> , Pattison, J.R. (ed.), pp. 165-174.
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	24.	Carter (1989). <i>Handbook of Parvoviruses</i> , Vol. I, pp. 169-228.
	25.	Carter, B.J. (1992). "Adeno-Associated Virus Vectors" <i>Current Opinion in Biotechnology</i> 3(5):533-539.
	26.	Carthew, R.W. et al. (1985). "An RNA Polymerase II Transcription Factor Binds to an Upstream Element in the Adenovirus Major Late Promoter" <i>Cell</i> 43(2 Pt 1):439-448.
	27.	Chatterjee et al. (1991). <i>Vaccines 91</i> , Cold Spring Harbor Laboratory Press, pp. 85-89.
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BW	30.	Dialog® Computer Abstract (Biosys File) of Kotin et al. (1989). "Organization of Adeno-Associated Virus DNA in Latently Infected Detroit 6 Cells," <i>Virology</i> 170(2):460-467.
BW	31.	Dialog® Computer Abstract (Biosys File) of Samulski et al. (1991). "Targeted Integration of Adeno-Associated Virus AAV into Human Chromosome 19," <i>EMBO J.</i> 10(12):3941-3950. One page total.
BW	32.	Dialog® Computer Abstract (Biosys File) of Srivastava et al. (1983). "Nucleotide Sequence and Organization of the Adeno-Associated Virus 2 Genome," <i>J. Virol.</i> 45(2):555-564.
BW	33.	Egan, M. et al. (1992). "Defective Regulation of Outwardly Rectifying Cl ⁻ Channels by Protein Kinase A Corrected by Insertion of CFTR," <i>Nature</i> 358(6387):581-584.
	34.	Flotte, T.R. et al. (1992). "Gene Expression from Adeno-Associated Virus Vectors in Airway Epithelial Cells" <i>Am. J. Respir. Cell. Mol. Biol.</i> 7(3):349-356.
	35.	Flotte, T.R. et al. (1993). "Expression of the Cystic Fibrosis Transmembrane Conductance Regulator from a Novel Adeno-Associated Virus Promoter" <i>J. Biol. Chem.</i> 268:3781-3790.
BW	36.	Flotte, T. R. et al. (1993). "Stable in Vivo Expression of the Cystic Fibrosis Transmembrane Conductance Regulator with an Adeno-Associated Virus Vector" <i>Proc. Natl. Acad. Sci. USA</i> 90(22):10613-10167.

EXAMINER:

B. M. Allen

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Sheet 3 of 5

Form PTO-1449		Docket Number 2262720001403	Application Number 09/731,941
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant James M. ALLEN	
		Filing Date December 6, 2000	Group Art Unit 1632
		Mailing Date September 11, 2001	
PW	37.	Flotte et al. (1995). "An Improved System for Packaging Recombinant Adeno-Associated Virus Vectors Capable of <i>In Vivo</i> Transduction," <i>Gene Therapy</i> 2(1):29-37.	
PW	38.	Hamer, D.H. and Walling, M. (1982). "Regulation in Vivo of a Cloned Mammalian Gene: Cadmium Induces the Transcription of a Mouse Metallothionein Gene in SV40 Vectors" <i>J. Mol. Appl. Genet.</i> 1(4):273-288.	
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	41.	Hölseher, C. et al. (1994). "Cell Lines Inducibly Expressing the Adeno-Associated Virus (AAV) Rep Gene: Requirements for Productive Replication of Rep-Negative AAV Mutants" <i>J. Virol.</i> 68(11):7169-7177.	
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	50.	Laughlin, C.A. et al. (1979). "Spliced Adenovirus-Associated Virus RNA" <i>Proc. Natl. Acad. Sci. USA</i> 76(11):5567-5571.	
	51.	Laughlin, C.A. et al. (1983). "Cloning of Infectious Adeno-Associated Virus Genomes in Bacterial Plasmids" <i>Gene</i> 23(1):65-73.	
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EXAMINER: Brian A. Heston		DATE CONSIDERED: 2/8/02	
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Sheet 4 of 5

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INFORMATION DISCLOSURE CITATION
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Docket Number 2262720001403

Application Number 09/731,941

Applicant

James M. ALLEN

Filing Date December 6, 2000

Group Art Unit 1632

Mailing Date September 11, 2001

NY	53.	McLaughlin, S.K. et al. (1988). "Adeno-Associated Virus General Transduction Vectors: Analysis of Proviral Structures" <i>J. Virol.</i> 62(6):1963-1973.
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BW	59. ✓	Riordan, J.R. et al. (1989). "Identification of the Cystic Fibrosis Gene: Cloning and Characterization of Complementary DNA" <i>Science</i> 245:1066-1073.
BW	60. ✓	Rommens, J.M. et al. (1989). "Identification of the Cystic Fibrosis Gene: Chromosome Walking and Jumping" <i>Science</i> 245(4922):1059-1065.
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BW	68.	Srivastava, A. et al. (1983). "Nucleotide Sequence and Organization of the Adeno-Associated Virus 2 Genome" <i>J. Virol.</i> 45(2):555-564.

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BW	69.	Srivastava, C.H. et al. (1989). "Construction of a Recombinant Human Parvovirus B19: Adeno-Associated Virus 2 (AAV) DNA Inverted Terminal Repeats are Functional in an AAV-B19 Hybrid Virus" <i>Proc. Natl. Acad. Sci. USA</i> 86(20):8078-8082.
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	77.	Winocour et al. (1992). "Modulation of the Cellular Phenotype by Integrated Adeno-Associated Virus" <i>Virology</i> 190:316-329.
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BW	79.	Yang, Q. et al. (1994). "Characterization of Cell Lines that Inducibly Express the Adeno-Associated Virus Rep Proteins" <i>J. Virol.</i> 68(8):4847-4856.

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